

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CSIL	28.06 %	28.43 %	10.77 %	5.70 %	0.00 %
VIRTU EUROPE TRADING LIMITED 213800EE C95PRUCEUP63	19.34 %	17.64 %	0.00 %	0.00 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2 IHZNBB6K528	19.25 %	17.08 %	1.21 %	0.00 %	0.00 %
INSTINET EUROPE LIMITED 213800MXAKR2L A1VBM44	15.52 %	14.92 %	0.17 %	0.00 %	0.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED 8NAV47TOY26Q87Y0QP81	12.37 %	10.99 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
GOLDMAN SACHS INTERNATIONAL W22LROWP2 IHZNBB6K528	23.74 %	15.60 %	0.10 %	0.00 %	0.00 %
VIRTU EUROPE TRADING LIMITED 213800EE C95PRUCEUP63	20.49 %	20.77 %	0.00 %	0.00 %	0.00 %
INSTINET EUROPE LIMITED 213800MXAKR2L A1VBM44	18.24 %	16.49 %	0.64 %	0.55 %	0.00 %
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED 8NAV47T0Y26Q87Y0QP81	16.43 %	10.56 %	0.00 %	0.00 %	0.00 %
CSIL	14.17 %	29.64 %	12.56 %	8.58 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITADEL SECURITIES LLC 12UUJYTN7D3SW8 KCSG25	22.91 %	11.97 %	0.00 %	0.00 %	0.00 %
CSIL	18.74 %	31.12 %	2.90 %	5.76 %	0.00 %
VIRTU EUROPE TRADING LIMITED 213800EE C95PRUCEUP63	17.25 %	18.79 %	0.00 %	0.00 %	0.00 %
INSTINET EUROPE LIMITED 213800MXAKR2L A1VBM44	16.44 %	14.66 %	2.70 %	2.20 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2 IHZNBB6K528	10.07 %	9.23 %	0.90 %	0.12 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JANE STREET FINANCIAL LIMITED 549300ZHEHX8M31RP142	35.89 %	19.57 %	0.00 %	0.00 %	0.00 %
Flow Traders B.V. 549300CLJI9XDH12XV51	24.82 %	16.39 %	0.00 %	0.00 %	0.00 %
Optiver V.O.F. 7245009KRY SAYB2QCC29	7.79 %	10.79 %	0.00 %	0.00 %	0.00 %
J.P. MORGAN SECURITIES PLC K6Q0W1PS1L104IQL9C32	6.71 %	4.22 %	0.00 %	0.00 %	0.00 %
SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41	5.09 %	12.71 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Structured finance instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Flow Traders B.V. 549300CLJI9XDH12XV51	59.32 %	39.84 %	0.00 %	0.00 %	0.00 %
Optiver V.O.F. 7245009KRY SAYB2QCC29	19.18 %	17.19 %	0.00 %	0.00 %	0.00 %
JEFETF	10.55 %	0.78 %	0.00 %	0.00 %	0.00 %
JANE STREET FINANCIAL LIMITED 549300ZHEHX8M31RP142	7.66 %	6.25 %	0.00 %	0.00 %	0.00 %
MORGAN STANLEY SECURITIES LIMITED VLR6T6E60GH5GUS0XX16	1.60 %	4.69 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Mirabaud Securities MiFID II RTS 28 Reporting for 01/01/2022 to 31/12/2022

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
REN4	33.65 %	8.93 %	0.00 %	0.00 %	0.00 %
CITADEL SECURITIES LLC 12UUJYTN7D3SW8 KCSG25	27.27 %	22.32 %	0.00 %	0.00 %	0.00 %
GOLDMAN SACHS INTERNATIONAL W22LROWP2 IHZNBB6K528	14.64 %	18.75 %	0.00 %	4.76 %	0.00 %
CSIL	11.72 %	26.79 %	23.33 %	30.00 %	0.00 %
INSTINET EUROPE LIMITED 213800MXAKR2L A1VBM44	8.38 %	15.18 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Debt instruments, Bonds				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JANE STREET FINANCIAL LIMITED 549300Z HEHX8M31RP142	87.29 %	50.00 %	0.00 %	0.00 %	0.00 %
CSIL	12.71 %	50.00 %	0.00 %	100.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					